



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/080,303 | 02/21/2002 | Mustafa Pinarbasi | SJO920010155US1 | 8766 |

7590 06/15/2004

ATTN: John J. Oskorep
One Magnificent Mile Center
Suite 1400
980 N. Michigan Avenue
Chicago, IL 60611

EXAMINER

DEO. DUY VU NGUYEN

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

1765

DATE MAILED: 06/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

24

| | | | |
|------------------------------|-----------------|-----------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 10/080,303 | PINARBASI ET AL | |
| | Examiner | Art Unit | |
| | DuyVu n Deo | 1765 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(e). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
 - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
 - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
 - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 April 2004.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 9-15 is/are allowed.
- 6) ☒ Claim(s) 1-4 is/are rejected.
- 7) ☒ Claim(s) 5-8 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 April 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
 Paper No(s)/Mail Date 2/21/02 1/14/03
- 4) ☐ Interview Summary (PTO-413)
 Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

2. Claims 1, 2, 4 are rejected under 35 U.S.C. 102(e) as being anticipated by Pinarbasi et al. (US 6,218,056).

Pinarbasi describes a method for forming magnetic head assemblies comprising: forming a lift-off mask over a central region of a sensor layer, the mask comprising a hardmask 104 and a release layer 106 (col. 5, line 25-30); ion milling the sensor layer such that the ends portions of the sensor are removed and the central portion remains (col. 5, line 34-37).

Art Unit: 1765

Referring to claim 2, the method further comprises depositing hard bias and lead layers adjacent to the central portion of the sensor layer and dissolving the release layer to remove the lift-off mask (col. 5, line 39-51).

Referring to claim 4, the release layer comprises PMGI (col. 6, line 13, 14).

3. Claims 1, 4 are rejected under 35 U.S.C. 102(e) as being anticipated by Han et al. (US 6,493,926).

Han describes a method for forming magnetic read sensors comprising: forming a lift-off mask over a central region of a sensor layer, the mask comprising a hardmask 6 and a release layer 4 (col. 4, line 15-25; fig. 2); ion milling the sensor layer such that the ends portions of the sensor are removed and the central portion remains (col. 4, line 33-37; fig. 3; col. 6, line 30-35).

Referring to claim 4, the release layer comprises PMGI (col. 6, line 13, 14).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Han.

Referring to claim 2, the method further comprises depositing hard bias and lead layers adjacent to the central portion of the sensor layer and removing the release layer to remove the lift-off mask (col. 4, line 38-45). Even though he is silent about removing the release layer by dissolving process; however, he describes removing the release layer in previous step by using its differential solubility in a solution (claimed dissolving process) (col. 4, line 29-32; col. 5, line

Art Unit: 1765

6-8). Therefore, it would have been obvious that the release layer would be removed by dissolving it in a solution with a reasonable expectation of success.

6. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Han as applied to claim 1 above, and further in view of Kawai (US ,468,902).

Referring to claim 3, Han doesn't describe using a hardmask from Si, Ti, or Ta.

However, using any material including Ti as a mask would have been obvious since material including Ti has been used as a mask by one skilled in the art as shown here by Kawai (claim 2).

At this time, using material including Ti as a mask would provide claimed invention with a reasonable expectation of success.

Double Patenting

7. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

8. Claims 1-15 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-20 of copending Application No. 10/109,110. Although the conflicting claims are not identical, they are not patentably distinct from each other because they describes method for forming magnetic heads using lift-off mask which includes a release and hardmask layers.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Election/Restrictions

9. Applicant's election with traverse of claims 1-15 in the reply filed on 4/19/04 is acknowledged. The traversal is on the ground(s) that group II product is done by the same method as group I and claims 1 and 16 have the same scope with respect to ion milling even if an alternate process of wet etch or RIE is utilized. This is not found persuasive because an wet etching process is clearly different from an ion milling process.

The requirement is still deemed proper and is therefore made FINAL.

Allowable Subject Matter

10. Claims 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Claim 5 is allowable because applied prior art doesn't suggest or teach forming multi-layer structure including the release, hardmask, and photoresist layers over the sensor layer.

11. Claims 9-15 are allowed for the same above reason.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DuyVu n Deo whose telephone number is 571-272-1462. The examiner can normally be reached on 6:00-3:30; with alternate Friday off.

Art Unit: 1765

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on 571-272-1465. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DVD

6/9/04

98